

Industry Focus: Financial Services

Industry Expertise that has 'Walked in Your Shoes'

Consolidation and technology adoption in the Financial Services space is accelerating at a rapid pace. At Harbor View Advisors we combine decades of M&A expertise with industry operator experience. We have been in your shoes, and we know the market.

 PUT OUR EXPERIENCE TO WORK.

Our Financial Services Advantage

- Industry Operator Expertise that has “Walked in Your Shoes”
- Extensive Industry Leadership Experience across Multiple Business Models
- Appreciation of the Seller’s Journey with the Perspective of what Buyers’ Value
- Large Wall Street Firm Experience Providing Boutique Firm Attention

Harbor View’s Financial Services Practice Works with Companies that Focus on:

I. WEALTH MANAGEMENT

- Fee Only RIAs
- Hybrid RIAs
- State-Regulated Trust Companies

II. RETIREMENT

- 401K Providers: 3(38), 3(21), 3(16)
- Record Keepers
- Third Party Administrators
- MEP & PEP Providers

III. INSURANCE

- Insurance Brokerage
- InsurTech

IV. ASSET MANAGEMENT

- Traditional
- Alternative

V. SPECIALTY FINANCE

- Non-Bank Lenders

Successful Outcomes



Acquired by




Parady Financial Group advised by: 




Acquired by




North Avenue Capital advised by: 



Acquired by



BlueStar advised by: 



Acquired by



Black Diamond advised by: 

Salentica

Acquired by



SS&C advised by: 

About Harbor View Advisors

Harbor View combines the best of investment banking and management consulting to help our clients see the possibilities, and we work hard to achieve their goals. We serve innovative companies in the middle market.



B2B TECH & SERVICES



FINTECH



FINANCIAL SERVICES



INDUSTRIALS



CONSUMER

Harbor View's leaders have extensive experience advising companies on their comprehensive M&A strategies. While our methodology is guided by each client's unique situation and objectives, we offer industry-tailored guidance. Our team approach allows us to provide differentiated advice and diligent execution for a variety of entrepreneur, private equity, and corporate clients.

Sell-side Advisory:

M&A FOR FOUNDER-OWNED BUSINESSES

LET US DRIVE THE PROCESS. Our sell-side advisory practice allows you to find the best fit, negotiate optimal valuation and terms, and efficiently progress to a close when seeking liquidity in your business or raising capital for growth. Our advisory experience on both sides of the table informs our process.

Buy-side Advisory:

BUY-SIDE FOR PRIVATE EQUITY AND CORPORATE DEVELOPMENT

LET US ACCELERATE YOUR M&A OUTCOMES. Our buy-side advisory practice delivers an elevated level of market mapping, sourcing & transaction execution to PE firms and their portfolio company's leadership. We strengthen your position by providing an end-to-end solution to pursue your add-on or platform goals.

NAVIGATE CFO Services:

FINANCIAL INTELLIGENCE & LEADERSHIP

LET US NAVIGATE THE NUMBERS. Partner with our expert team to improve financial operations, gain high-level strategic direction, and benefit from financial planning and analysis. We provide Outsourced Accounting, Reporting & Analytics, and Strategy & Leadership for clients across all industries and at all stages of their business.

